

EXPRESS MAIL CERTIFICATE

EL 767724416 US

#3

Date 9-7-01 Label No. \_\_\_\_\_  
I hereby certify that, on the date indicated above, this paper or  
fee was deposited with the U.S. Postal Service & that it was  
addressed for delivery to the Assistant Commissioner for  
Patents, Washington, DC 20231 by "Express Mail Post Office to  
Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR  
CREDIT ANY EXCESS IN THE FEES DUE WITH THIS  
DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

G. KARASZI G. Karaszi  
Name (Print) Signature

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 6920/OJ811

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Shigeru IKEDA; Atsushi YANO; Shigetsune YAMOTO; Kiyoto  
FUKAMATSU

Serial No.: t/b/a (National Phase of PCT/JP01/00155 filed on January 12, 2001)

Filed: September 7, 2001

For: Apparatus and Method of Trading Right to Use Electric  
Communications Equipment and apparatus and Method of Assigning  
Capacity of Electric Communications Equipment

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of  
Patents and Trademarks  
Washington, DC 20231

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a  
copy of Form PTO-1449 and copies of the documents listed thereon.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

This submission is filed before a first action on the merits has been mailed.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

Serial No. t/b/a (National Phase of PCT/JP01/00155  
filed on January 12, 2001)

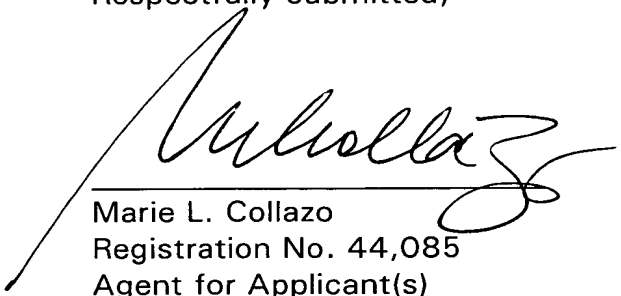
Docket No. 6920/OJ811

It is believed that no fee is due. However, if the Commissioner determines that a fee is due, the Commissioner is hereby authorized to charge the above deposit account for any deficiency.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

Dated: September 7, 2001



Marie L. Collazo  
Registration No. 44,085  
Agent for Applicant(s)

DARBY & DARBY  
805 Third Avenue  
New York, NY 10022  
(212) 527-7700

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

SHEET 1 OF 2  
(REV. 7-80)**LIST OF REFERENCES CITED BY APPLICANT**

(Use Several Sheets if Necessary)

DOCKET NO.: 6920/OJ811  
APPLICANT: IKEDA et al.SERIAL NO: Not yet assigned  
FILING DATE: Concurrently here with  
CONFIRMATION NO:**U.S. PATENT DOCUMENTS**

*EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
-----------------------	--------------------	------	------	-------	----------	-------------

**FOREIGN PATENT DOCUMENTS**

*EXAMINER INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
✓1.	06-030046	02/04/94	JP	H04L	12,56	X
✓2.	11-066140	03/09/99	JP	G06F	17,50	X
✓3.	11-196113	07/21/99	JP	H04L	12/28	X
✓4.	06-507289	08/11/94	JP	H04L	12/20	X
✓5.	02-220531	09/03/90	JP	H04L	12/48	X
✓6.	02-284543	11/21/90	JP	H04L	12/56	X
✓7.	04-023655	01/28/92	JP	H04M	3/42	X
✓8.	04-186943	07/03/92	JP	H04L	12/40	X
✓9.	07-143177	06/02/95	JP	H04L	12/56	X
✓10.	07-297912	11/10/95	JP	H04M	3/36	X
✓11.	08-022427	01/23/96	JP	G06F	13/00	X
✓12.	08-079258	03/22/96	JP	H04L	12/28	X
✓13.	09-055762	02/25/97	JP	H04L	12/46	X
✓14.	10-190671	07/21/98	JP	H04L	12/28	X
✓15.	10-124434	05/15/98	JP	G06F	13/00	X
✓16.	10-098525	04/14/98	JP	H04M	3/00	X
✓17.	10-322393	12/04/98	JP	H04L	12/56	X
✓18.	11-017715	01/22/99	JP	H04L	12/40	X
✓19.	11-096135	04/09/99	JP	G06F	17/00	X
✓20.	11-168428	06/22/99	JP	H04B	7/26	X
✓21.	63-082141	04/12/88	JP	H04L	11/20	X
✓22.	07-087102	03/31/95	JP	H04L	12/28	X
✓23.	2865675	12/18/98	JP	H04L	12/10	X

**OTHER REFERENCES****(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)**\*EXAMINER  
INITIALS

09/936081

518 Rec'd PCT/PTO 7 SEP 2001

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

SHEET 2 OF 2  
(REV. 7-80)

**LIST OF REFERENCES CITED BY APPLICANT**

(Use Several Sheets if Necessary)

DOCKET NO.: 6920/OJ811  
APPLICANT: IKEDA et al.

SERIAL NO: Not yet assigned  
FILING DATE: Concurrently here with  
CONFIRMATION NO:

\*EXAMINER  
INITIALS

EXAMINER: \_\_\_\_\_

DATE CONSIDERED: \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.